



Ethics Citation Schedule – Lake County Association of Realtors®

Violation	First Offense	Second Offense	Third Offense
Article 3: Attempt to change offer of compensation after being made aware of a signed offer	\$250	\$500	\$1,000
Failure to disclose existence of dual or variable rate commission.	\$250	\$500	\$1,000
Failure to disclose existence of accepted offers to cooperating brokers.	\$250	\$500	\$1,000
Article 6: Accepting any commission, rebate or profit on expenditures without client's knowledge or consent.	\$250	\$500	\$1,000
Article 12: Failure to present a true picture in real estate communications and advertising.	Letter of warning	\$250	\$500
Failure to disclose professional status in advertising and other representations.	\$250	\$500	\$1,000
Failure to disclose compensation from 3 rd party for services provided free to a client.	\$250	\$500	\$1,000
Failure to make reasonable efforts to ensure that information on web sites is current and correct.	Letter of warning	\$250	\$500
Failure to display name of firm and state of licensure in a reasonable and apparent manner.	Letter of warning	\$250	\$500
Failure to present a true picture in advertising and representations to the public, including misleading images, internet content, URLs and domain names.	Letter of warning	\$250	\$500
Failure to disclose intent to share or sell consumer information gathered via internet.	Letter of warning	\$250	\$500
Using or registering domain name or URL that presents less than a true picture.	Letter of warning	\$250	\$500
Using a professional designation, certification or other credential to which they are not legitimately entitled.	\$250	\$500	\$1,000



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Failure to disclose status as both owner/landlord and REALTOR® or licensee when advertising property in which REALTOR® has ownership interest.	\$250	\$500	\$1,000
Falsely claiming to have "sold" property.	\$250	\$500	\$1,000
Registration or use of deceptive URL or domain name.	Letter of warning	\$250	\$500
Article 14: Failure to cooperate in any professional standards proceeding or investigation.	\$250	\$500	\$1,000
Article 16: Use of terms of an offer to modify listing broker's offer of compensation.	\$250	\$500	\$1,000
Placement of for sale/lease sign on property without permission of seller/landlord.	\$250	\$500	\$1,000